06/11/04

PTO/SB/21 (08-00)

a plus sign (+) inside this box \longrightarrow +

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

09/868,664

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number

FORM (to be used for all correspondence after initial filing)			Filing	Date	September 26, 2001			
			First I	Named Inventor	Nichols	RECEIVEL		
			Group Art Unit		2121	JUN 1 7 2004		
			Examiner Name		Bell	~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~		
Total Number of Pages in This Submission			Attorn	ey Docket Number	05222.0016	Technology Center 21		
		ENCL	OSURES	(check all that apply)				
Fee Transmittal Form		Assignment Papers (for an Application)			After Allowance Communication to Group			
Fee Attached	t	☐ Drawing(s)			Appeal Communication to Board of Appeals and Interferences			
Amendment / Response		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition			Proprietary Information			
Affidavits/dec	Petition to Convert to a Provisional Application			Status Letter				
Extension of Time	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):				
Express Abandonment Request		☐ Terminal Disclaimer				PTO/SB/08a		
		Request for Refund				ferences cate of Express Mail		
Information Disclosure Statement		CD, Number of CD(s)			Retur	n Receipt Requested		
Certified Copy of Document(s)	Priority	Rema	rks					
Response to Missing Parts/ Incomplete Application		The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.						
Response to Missing Parts under 37 CFR 1.52 or 1.53		Express Mail No. EL 995824296 US						
	SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, O	R AGENT			
Firm <i>or</i> Individual name	Kenneth F. Smolik Banner & Witcoff,	nolik						
Signature	Kinner	17	S	roll				
Date	June 10, 2004	- ,						

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Approved for use through 01/31/2003. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TE TRADE

FEE TRANSMITTAL	
for FY 2003	

Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 180

	Complete l	f Known
Application Number	09/868,664	
Filing Date	September 26,	200RECEIVED
First Named Inventor	Nichols	
Examiner Name	Bell	JUN 1 7.2004
Art Unit	2121	T 1 Center 210
Attorney Docket No	005222.00161	Technology Center 219

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
			3. ADI	ITIONA	L FEES			-	
Check Credit card Money Other None Order			<u>Large</u>	Entity	Small E	ntity			
☐ Deposit Account: Deposit			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Account 19-0733		1051	130	2051	65	Surcharge - late filing fee or oath			
Number					50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit			1053	130	1053	130	Non-English specification		
Account Banner & Witcoff, Ltd. Name			1812	2,520	1812	2,520	For filing a request for exparte reexamination		
The Commissioner is authorized to: (check all that apply)				1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application ☐ Charge fee(s) indicated below, except for the filing fee				1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identi				1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION				1252	410	2252	205	Extension for reply within second month	
1. BASIC FILI				1253	930	2253	465	Extension for reply within third month	
Fee Fee F		Fee Description		1254	1,450	2254	725	Extension for reply within fourth month	
	ode (\$)	Fee Pald	٦.	1255	1,970	2255	985	Extension for reply within fifth month	
		Utility filing fee	4	1401	320	2401	160	Notice of Appeal	
		Design filing fee	4	1402	320	2402	160	Filing a brief in support of an appeal	
		Plant filing fee	4	1403	280	2403	140	Request for oral hearing	
		Reissue filing fee Provisional filling fee	}	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
			_	1452	110	2452	55	Petition to revive – unavoidable	
	SUBTOTAL	. (1)	J	1453	1,300	2453	650	Petition to revive – unintentional	
2. EXTRA CLAIM	FEES			1501	1,300	2501	650	Utility issue fee (or reissue)	
		Extra Fee from Fee		1502	470	2502	235	Design issue fee	
		Claims below Paid	_	1503	630	2503	315	Plant issue fee	
Total Claims	<u>-</u> ** -	0 X = 0	╣	1460	130	1460	130	Petitions to the Commissioner	
Independent Claims	- ++ =	X		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Multiple		x = 0]	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Dependent <u>Large Entity</u>	Small Entity	<u> </u>	ل	8021	40	8021	40	Recording each patent assignment per property (times number of	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description		1809	750	2809	375	properties) Filing a submission after final rejection	
1202 18	2202 9	Claims in excess of 20		4040	750	2040	375	(37 CFR § 1.129(a))	-
1201 84 1203 280	2201 42 2203 140	Independent claims in excess of 3 Multiple dependent claim, if not pai	4	1810	750	2810	3/5	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 280	2203 140	** Reissue independent claims ove original patent		1801	750	2801	375	Request for Continued Examination (RCE)	
1205 18	2205 9	** Reissue claims in excess of 20 a over original patent	ind	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0				Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above			*Redu	ced by Ba	asic Filing	Fee P	aid SUBTOTAL (3) (\$) 18	0	

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Kenneth F. Smolik	Registration No. Attorney/Agent)	44,344	Telephone	312-463-5000		
Signature	Kenner	7 Smolet		Date	June 10, 2004		



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JUN 1 7 2004

CERTIFICATE OF EXPRESS MAIL (PATENT)

Technology Center 2100

Attorney Docket No. 05222.00161

Express Mail No. EL 995824296 US Deposited June 10, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, DC 20231.

Nichols, U.S. Patent Application No. 09/868,664 for "A RUNTIME PROGRAM ANALYSIS TOOL FOR A SIMULATION ENGINE"

- > Transmittal Form (in duplicate)
- > Fee Transmittal (in duplicate)
- > Information Disclosure Statement (8 pages)
- Form PTO/SB/08a (4 pages)
- > 87 References
- > Return Receipt Postcard